11-24-06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Stadelman et al.

Confirmation No. 6380

Serial No.: 10/599,267

CUSTOMER NUMBER 30743

Filed: September 25, 2006

Examiner: not yet assigned

For: ELECTRODE ASSEMBLY FOR THE ELECTROCHEMICAL TREATMENT OF

LIQUIDS WITH A LOW CONDUCTIVITY

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

SUBMISSION OF SMALL ENTITY FORMS AND REQUEST FOR REFUND

Sir:

It has come to the applicant's attention that the above-identified application should be considered to have Small Entity status. At the time of filing Large Entity fees were paid. Therefore, submitted herewith are three (3) Small Entity Statements regarding the above-identified patent application. A Small Entity statement is being submitted herewith which has been signed by each of the inventors. Further, two Statements each signed by the respective Assignees are also being submitted herewith.

The original amount of \$1,030.00 was paid at the time of filing. The filing fee was originally calculated as being \$900 and the surcharge of \$130 for submitting an executed Declaration document after the initial filing. Applicant respectfully requests a refund in the amount of \$515.00 the difference between the small and large entity fees) be credited to Attorney's Deposit Account 50-2041.

Respectfully/submitted.

Michael E. Whitham Registration No. 32,635

CUSTOMER NUMBER 30743 Whitham, Curtis, Christofferson & Cook, PC 11491 Sunset Hills Road, Suite 340 Reston, Virginia 20190 703-787-9400

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VERIFII STAT	ED STATE FUS (37 CF	MENT (DECLARA R 1.9(f) AND 1.27	ATION (b)) - 1	V) CI	LAIMING SMALL EPENDENT INVEN	ENTIT	Y	Docket No. 03100326AA
	99,267	Filing Da 09/25/200			Patent No. N/A		<u> </u>	Issue Date N/A
Potenteer	M. Stadelmar N/A	nn et al.						
Invention: WITH A LO	ELECTROD W CONDUC	E ASSEMBLY FOR T	HE EL	ECTI	ROCHEMICAL TREAT	rment (OF LIC	QUIDS
	P-J9 .000	tor, I hereby declare the trace of the trace	UII 4 II 2	aı an	as an independent inve	ntor as d	efined	in 37 CFR 1.9(c) for e, to the Patent and
		n to be filed herewith.			THE GOODINGS III.			
		identified above.		٠				
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<u> </u>	patent iden	uneo above.		•				
Each person obligation und	concern or der contract of such person, the such person or Separate verights to the	r organization to which or law to assign, grant concern or organization, concern or organization, concern or organization, concern or organization at the concern of the concern or organization at the concern or organi	h I hav convey on exist	ve as y; or l its. listed	who could not be cla on, or to any concern valued and concern zation under 37 CFR 1 signed, granted, convi icense any rights in the libelow. I below. I below. I below. I beson named person s small entities (37 CFR	.9(e). eyed, or inventio	licens n is lis	ed or am under an ted below:
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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR Manuela Stadelmann A Sadeling	dec	
SIGNATURE OF INVENTOR	DATE:	24.10.06
NAME OF INVENTOR Manfred Blaschke]	
SIGNATURE OF INVENTOR	_ DATE:	16. 10 Zan 6
NAME OF INVENTOR Maja Wuensche M. Molycle	7	
- SIGNATURE-OF INVENTOR	DATE:	17.10 2006
NAME OF INVENTOR Helmut Petzer SIGNATURE OF INVENTOR H. Petzer	DATE:	16. 10. 2006
NAME OF INVENTOR Alexander Kraft SIGNATURE OF INVENTOR	DATE:	24. Va. 2001
NAME OF INVENTOR Thorsten Matthée SIGNATURE OF INVENTOR	DATE:	23.Oct. 2006
NAME OF INVENTOR Matthias Fryda SIGNATURE OF INVENTOR	DATE:	23 QV 2000
NAME OF INVENTOR SIGNATURE OF INVENTOR	DATE:	
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VERIFIED STATEMI STATUS (37 CFR 1	ENT (DECLARATION) CI .9(1) AND 1.27 (c)) - SMAL	LAIMING SMALL ENTIT LL BUSINESS CONCERN	Y Docket No. 03100326AA				
Application No. 10/599,267	Filing Date 09/25/2006	Patent No. N/A	Issue Date N/A				
Applicant/ M. Stadelmann e Patentee: N/A	t al.						
Invention: ELECTRODE A	ASSEMBLY FOR THE ELECTI	ROCHEMICAL TREATMENT	OF LIQUIDS				
	E.R.U.S. Gesellschaft für eleuro	red to act on behalf of the conce					
ADDRESS OF CONCERN: Ostendstrasse 1, 12459 Berlin Germany I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:							
the specification	filed herewith with title as lister	d above.					
	the application identified above.						
If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not exclude a relative and the invention are held by any							
person, other than the inventor, who could not qualify as an Independent Inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).							
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Each person obligation und	, concern o ler contract	r organiza or law to a	ition to which	ch I ł	nave assigned, granted, con vey, or license any rights in th	nveyed, or the invention	icensed or am under an
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OTHER THAN (ADDRESS OF F		GNING:		. Gese	fr.4 der Ok ellschaft fur elektrochemisches	Recycling I	
			12459 Berl	in Ge	rmany		
SIGNATURE: _		•	Potro	k	DATE:		7.10. Zan 6

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VERIFIED STATEMI STATUS (37 CFR 1	ENT (DECLARATION) C .9(f) AND 1.27 (c)) - SMAL	Laiming´small entit Ll business concern	Υ	Docket No. 03100326AA		
Application No. 10/599,267	Filing Date 09/25/2006	Patent No. N/A	Issue Date N/A			
Applicant/ M. Stadelmann e Patentee: N/A		3				
Invention: ELECTRODE A WITH A LOW CONDUCTIVE	SSEMBLY FOR THE ELECT TY	ROCHEMICAL TREATMENT	OF LIQ	UIDS		
 ☑ an official of the srr NAME OF CONCERN: CO 	nall business concern identified rall business concern empower ONDIAS GmbH	ed to act on behalf of the conce	ern ident	ified below:		
ADDRESS OF CONCERN:	Fraunhofer Strasse 1b, 25524 It	zehoe, Germany				
of Title 35, United States Coon not exceed 500 persons. For average over the previous fis basis during each of the pay directly or indirectly, one conchas the power to control both. I hereby declare that rights united the control of the pay directly or indirectly, one concord that the power to control both.	ve-identified small business confuced in 37 CFR 1.9(d), for purple, in that the number of emplo purposes of this statement, (1) and year of the concern of the periods of the fiscal year, and cern controls or has the power der contract or law have been of the above identified invention of	ryees of the concern, including the number of employees of the persons employed on a full-tined (2) concerns are affiliates of to control the other, or a third processory of the control of the other, or a third processory of the control of the other.	nder Se those of thos	ction 41(a) and (b) f its affiliates, does less concern is the lime or temporary other when either, parties controls or		
the specification t	led herewith with title as listed	above.				
the application identifiedthe patent identified	entified above.					
person, other than the inventor	ve-Identified small business can be invention is listed on the near, who could not qualify as an fy as a small business concern	axt page and no rights to the in	nventior	are held by any		
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